

## *4-Week Study Schedule on the Smart® 7 Series 7 Exam-Prep Course Syllabus*

The following is a guideline of the topics covered and the readings required. After the highlighted Topics are read, the student is instructed to complete Section Quizzes; and where indicated, full Chapter Quizzes (in **red**).

	<b>Topics</b>	<b>Reading</b>
<b>Week 1</b>		
Day 1	Common Stock; Preferred Stock; & Special Securities	<b>Chapter 1 – Equity;</b> <b>Equity Quiz</b>
Day 2	Bond Basics & Corporate Debt	<b>Chapter 2 – Debt</b>
Day 3	Government Debt & Municipal Debt	<b>Chapter 2 – Debt</b>
Day 4	Municipal Debt (cont.), Money Market Debt, & Eurodollar Debt	<b>Chapter 2 – Debt</b>
Day 5	<b>Debt Quiz</b>	Complete at least 2 <b>Debt Quizzes</b>
<b>Week 2</b>		
Day 1	Options Basics; Speculative Strategies; & Hedging Strategies	<b>Chapter 3 – Options</b>
Day 2	Income Strategies; Straddles; Spreads; Equity Stock Options; Stock Index Options; & Foreign Currency Options	<b>Chapter 3 – Options</b>
Day 3	<b>Options Quiz</b>	Complete at least 2 <b>Options Quizzes</b>
Day 4	Trading Markets Basics; NYSE Trading; OTC Trading; Options & Other Markets; Customer Disclosure & Settlement Rules; & MSRB Customer Disclosure	<b>Chapter 4 – Trading Markets;</b> <b>Trading Markets Quiz</b>
Day 5	<b>Mid-Term Exams</b>	Complete 2 Mid-Terms

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<b>Week 3</b>		
Day 1	Account Basics; Margin Rules; Long Margin Accounts; Short Margin Accounts; & Margin on Options	<b>Chapter 5 – Customer Accounts</b>
Day 2	<b>Customer Accounts Quiz</b> ; Corporate Underwritings; Municipal Underwritings; & U.S. Government & Agency Underwritings	<b>Customer Accounts Quiz; Chapter 6 – New Issues; New Issues Quiz</b>
Day 3	Management Companies; Unit Investment Trusts; Real Estate Investment Trusts; & Retirement Plans	<b>Chapter 7 – Investment Companies &amp; Retirement Plans; Investment Co. Quiz</b>
Day 4	Types of Taxable Income; Taxes on Corporates; Taxes on Municipals; Taxes on Options; Other Tax Rules; & Tax Advantaged Investments	<b>Chapter 8 – Taxes Taxes Quiz</b>
Day 5	Securities Act of 1933; Securities Exchange Act of 1934; & Other Federal & State Acts	<b>Chapter 9 – Regulations</b>
<b>Week 4</b>		
Day 1	NYSE Rules; FINRA Rules; MSRB Rules; & CBOE Rules	Chapter 9 – Regulations; Complete at least 2 <b>Regulations Quizzes</b>
Day 2	Fundamental Analysis; Economic Analysis; Market Analysis; Portfolio Analysis; & Technical Analysis	<b>Chapter 10 – Analysis; Analysis Quiz</b>
Day 3	<b>Final Exams</b>	Complete 2 Finals
Day 4	<b>Final Exams</b>	Complete 2 Finals
Day 5	<b>Final Exams</b>	Complete 2 Finals

This is an aggressive 4-week study schedule. The idea behind this syllabus is to keep the student mindful of the "more important" parts of the exam. Debt, Options, and Regulations comprise a large portion of the actual Series 7 exam and these areas will require a large portion of the student's study time. Also, because the actual Series 7 exam is 250 questions, two of the Pass Perfect Finals should be completed on Days 3 through 5 to simulate the real exam. Scores of over a 70% on 2 successive exams should encourage the student's feeling ready for the actual exam.