

A 5-Week Self-Study Plan For Series 7 Exam Preparation Using Keir Materials

This is a **Guide** only.

Its purpose is to give the Series 7 student a general idea of how much material to be covered each day to review all of the Keir Series 7 materials in a five-week period.

We do not purposely give a suggested amount of time to spend each day. Most students will find that this will vary from two to several hours. The critical recommendation is “Do not allow work to pile up. Do not put off completing a lesson without a serious reason.”

Some things that may alter your pace:

Unclear Concept: If you find a concept unclear after spending a reasonable amount of time, call the Keir help desk during normal business hours. They will have an answer for you or have a specialist instructor call you later that same day.

Already Understand This: You may need to spend less time on it, but make sure you are scoring 70% or better on test questions.

Takes Longer Than I Expected: There will be topics on which you are not as familiar, and on which you will need to spend more time. Pay attention to the chart in the text so you do not spend more time on a minor topic than you do on the ones that are tested more frequently on the exam.

Family/Personal Emergency: Discuss with your supervisor. You may need to reschedule both your study time and exam time.

Key Concepts built into this agenda.:

- 1) You must read all materials.
- 2) You must take all section and chapter exams (and get at least 70% on them).
- 3) You must take all sample final examinations (and get at least 70% on them).
- 4) You should understand the vocabulary of Series 7. The **Glossary** is a good way to do that, but it will not be a short cut for reading the text.
- 5) Regular study hours over a period of time are better than putting off study and cramming at the last minute.
- 6) Let ideas sink in. The curriculum does not call for study on Sunday (or any other **(one)** day of your choosing each week. That is to allow the week’s work time to sink in and become part of you.

So long as you accomplish these six things, you may adjust the agenda to fit your life and study style.

This said, good studying. Congratulations on passing this time around!

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Week 1		# Pages or # Questions
Monday	Read Chapter 1— Equities Sections 1 (Common Stock) 2 (Preferred Stock) 3 (Special Securities / Financial Listings) Take all three Section Exams and read rationale Series 7 Glossary — Read first 50 definitions and mark where you stopped.	1-38
Tuesday	Read Chapter 2 — Debt Sections 1 (Bond Basics) 2 (Corporate Debt) Take both Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	1-52
Wednesday	Read Chapter 2 — Debt Sections 3 (US Government Debt) Take Section Exam and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	53-88
Thursday	Read Chapter 2 — Debt Sections 4 (Municipal Debt) 5 (Money Market Debt) 6 (Eurodollar Debt) Take all Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	89-140
Friday	Chapter Exams Book Take exams for Chapters 1 & 2 Read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	1 = 25 q 2 = 100 q
Saturday	Read Chapter 3 — Options Sections 1 (Option Basics) 2 (Speculative Option Strategies) Take both Section Exams and read rationale Review Glossary definitions studied this week	1-32

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Week 2		# Pages or # Questions
Monday	Read Chapter 3 — Options Sections 3 (Hedging Strategies) 4 (Income Strategies) 5 (Straddles & Combinations) Take all Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	33-72
Tuesday	Read Chapter 3 — Options Sections 6 (Spreads) 7 (Ratio Strategies) 8 (Equity Options) 9 (Index Options) Take all Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	73-126
Wednesday	Chapter Exams Book Take exams for Chapter 3 Read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	3 = 75 q
Thursday	Read Chapter 4 — Trading Markets Sections 1 (Trading Market Basics) 2 (New York Stock Exchange Trading) Take both Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	1-46
Friday	Read Chapter 4 — Trading Markets Sections 3 (Over-the-Counter Market) 4 (Options & Other Markets) 5 (Customer Disclosure & Settlement Rules) Take all Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	47-90
Saturday	Chapter Exams Book Take exams for Chapter 4 Read rationale Review Glossary definitions studied this week	4 = 55 q

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Week 3		# Pages or # Questions
Monday	Read Chapter 5 — Customer Accounts Sections 1 (Account Basics) 2 (Margin Rules) Take both Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	1-38
Tuesday	Read Chapter 5 — Customer Accounts Sections 3 (Long Margin Accounts) 4 (Short Margin Accounts) 5 (Margin on Options) Take all Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	39-74
Wednesday	Read Chapter 6 — New Issues Sections 1 (Corporate Underwriting) 2 (Municipal Underwritings) 3 (U.S. Gov't & Agency Underwritings) Take all Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	1-54
Thursday	Chapter Exams Book Take exams for Chapters 5 & 6 Read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	5 = 60 q 6 = 45 q
Friday	Read Chapter 7 — Investment Companies & Retirement Plans Sections 1 (Overview) 2 (Management Companies) 3 (Unit Investment Trusts) 4 (Real Estate Investment Trusts) 5 (Retirement Plans) Take all Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.)	1-58
Saturday	Read Chapter 8 — Taxes & Tax Advantaged Investments Sections 1 (Tax Rules & Applications) 2 (Tax Advantaged Investments) Take both Section Exams and read rationale Review Glossary definitions studied this week	1-56

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Week 4		# Pages or # Questions
Monday	Chapter Exams Book Take exams for Chapters 7 & 8 Read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	7 = 50 q 8 = 80 q
Tuesday	Read Chapter 9 — Regulations Sections 1 (Securities Act of 1933) 2 (Securities & Exchange Act of 1934) 3 (Other Federal & State Regulations) 4 (NYSE Rules) Take all Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	1-56
Wednesday	Read Chapter 9 — Regulations Sections 5 (FINRA Rules) 6 (MSRB Rules) 7 (CBOE Rules) Take all Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	57-110
Thursday	Chapter Exams Book Take exams for Chapter 9 Read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	9 = 105 q
Friday	Read Chapter 10 — Analysis Sections 1 (Fundamental Analysis) 2 (Economic Analysis) Take both Section Exams and read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	1-40
Saturday	Read Chapter 10 — Analysis Sections 3 (Market Analysis) 4 (Portfolio Analysis) 5 (Technical Analysis) Take all Section Exams and read rationale Review Glossary definitions studied this week	41-72

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Week 5		# Pages or # Questions
Monday	Chapter Exams Book Take exams for Chapter 9 Read rationale Series 7 Glossary — read next 50 definitions and mark where you stopped.	10 = 55 q
Tuesday	Final Exams Book — take Final Exam 1 (average) Final Exam 5 (hard) Series 7 Glossary — read next 50 definitions and mark where you stopped.	125 q 125 q
Wednesday	Final Exams Book — take Final Exam 2 (average) Final Exam 6 (hard) Series 7 Glossary — read next 50 definitions and mark where you stopped.	125 q 125 q
Thursday	Final Exams Book — take Final Exam 3 (average) Final Exam 7 (hard) Series 7 Glossary — read next 50 definitions and mark where you stopped.	125 q 125 q
Friday	Final Exams Book — take Final Exam 4 (average) Final Exam 8 (hard) Series 7 Glossary — read next 50 definitions and mark where you stopped.	125 q 125 q
Saturday	Review Glossary definitions studied this week Congratulations On Passing Series 7 This Time!	